

## CONSTRUCTION OF LOCALLY EXTENDED AFFINE LIE ALGEBRAS

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ABSTRACT. In this paper we construct all locally extended affine Lie algebras in general. We describe a construction, which starts with any centerless  $(Q(\Delta) \oplus \Lambda)$ -graded Lie algebra  $\mathcal{L}$  with  $\Delta$  a locally irreducible finite root system and  $\Lambda$  a torsion-free abelian group, and produce a locally extended affine Lie algebra  $L$  with centerless core  $\mathcal{L}$ .

### 1. INTRODUCTION

In 2006, Morita and Yoshii [3], introduced a general version of extended affine Lie algebras, called locally extended affine Lie algebras (LEALAs for short). The structure of a LEALA is understood by coordinatizing its core. The core of a LEALA  $L$  is the ideal  $L_c$  generated by all subspace  $L_\alpha$ ,  $\alpha \in R^\times$ , where  $R$  is the root system of  $L$ . We then have a Lie algebra homomorphism  $L \rightarrow \text{Der}_{\mathbb{F}}(L_c)$ , given by  $x \mapsto \text{adx}|_{L_c}$ , and we say that  $L$  is tame if the kernel of this map lies in  $L_c$ . So, as in [4], we can recover  $L$  from  $L_c$  and its derivations.

Throughout this paper we will assume that  $\mathbb{F}$  is a field of characteristic zero. We begin by recalling the definition of a locally extended affine Lie algebra, which is the one given in [3]. Throughout,  $(L, H)$  will be a toral pair over  $\mathbb{F}$ , i.e, a non-zero Lie algebra  $L$  with a toral subalgebra  $H$ . We will denote the set of its roots by  $R$ . Then  $L$  has a root space decomposition with respect to  $H$  by  $L_\alpha = \{x \in L : [x, h] = \alpha(x)x \text{ for all } h \in H\}$  and  $R = \{\alpha \in H^* : L_\alpha \neq 0\}$ .

**Definition 1.1.** A toral pair  $(L, H)$  is called a *locally extended affine Lie algebra* (for short LEALA), if it satisfies the following axioms

- (LEA1)  $H$  is self-centralizing.
- (LEA2)  $L$  has an invariant nondegenerate symmetric bilinear form  $F$ .
- (LEA3)  $R \subseteq H_F^*$ , where  $H_F^*$  is the image of the canonical map  $H \rightarrow H^*$  defined by  $h \mapsto F(h, \cdot)$ .
- (LEA4)  $\text{adx} \in \text{End}_{\mathbb{F}}(L)$  is locally nilpotent for all  $x \in L_\alpha$  and  $\alpha \in R^\times$ .

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(LEA5)  $R^\times$  is irreducible. That is,  $R^\times = R_1 \cup R_2$  and  $(R_1, R_2) = 0$  imply that  $R_1 = \phi$  or  $R_2 = \phi$ .

It is showed in [2] that the core of any LEALA is a general version of Lie torus of type  $(\Delta, \Lambda)$ , with  $\Delta$  a locally finite irreducible root system and  $\Lambda$  a free abelian group of finite rank. We will now describe a construction of LEALA's, which starts from any such version of centreless Lie tori.

2. MAIN RESULTS

Our construction uses data  $(\mathcal{L}, D, C, \tau)$ , as in [4], described below.

Let  $\mathcal{L} = \bigoplus_{\alpha \in \Delta} \bigoplus_{\lambda \in \Lambda} \mathcal{L}_\alpha^\lambda$  be a centreless  $(\langle \Delta \rangle \oplus \Lambda)$ -graded Lie algebra with  $\Delta$  a locally finite irreducible root system and  $\Lambda$  a torsion free abelian group, which satisfies axioms (LT1) – (LT4) described in [4]. It thus follows from [5, Theorems 2.2 and 7.1] that  $\mathcal{L}$  has a non-zero nondegenerate invariant symmetric bilinear form  $(\cdot, \cdot)$ , which is  $\Lambda$ -graded. Since  $\text{supp}_{\langle \Delta \rangle} \mathcal{L}$  is a subsystem of  $\Delta$ , we can assume  $\text{supp}_{\langle \Delta \rangle} \mathcal{L} = \Delta$ , and that  $\Lambda$  is spanned by  $\text{supp}_\Lambda \mathcal{L} = \bigcup_{\alpha \in \Delta} \Lambda_\alpha$ , where  $\Lambda_\alpha = \{\lambda \in \Lambda : \mathcal{L}_\alpha^\lambda \neq 0\}$ . We can choose families  $(x_\alpha^\lambda, h_\alpha^\lambda, y_\alpha^\lambda) \in \mathcal{L}_\alpha^\lambda \times \mathcal{L}_0^0 \times \mathcal{L}_{-\alpha}^{-\lambda}$ ,  $\alpha \in \Delta$  and  $\lambda \in \Lambda_\alpha$ , by (LT3). In particular, the triple  $(x_\alpha^\lambda, h_\alpha^\lambda, y_\alpha^\lambda)$  is an  $\mathfrak{sl}_2$ -triplet for  $\alpha \in \Delta^\times$ . We let  $\mathfrak{h} = \text{span}_{\mathbb{F}}\{h_\alpha^0 : \alpha \in \Delta_{ind}^\times\}$ . Then  $\mathfrak{h}$  is a toral subalgebra of  $\mathcal{L}$  and  $\Delta$  canonically embeds into the dual space  $H^*$  such that  $\langle \alpha, \beta \rangle = \alpha(h_\alpha^0)$  for  $\alpha \in \Delta_{ind}$  and  $\beta \in \Delta_{ind}^\times$ . The root spaces of  $(\mathcal{L}, \mathfrak{h})$  are the subspaces of  $\mathcal{L}_\alpha$ ,  $\alpha \in \Delta$ .

Recall that the centroid of  $\mathcal{L}$ , denoted by  $\mathcal{C}(\mathcal{L})$ , is the set of all  $\phi \in \text{End}_{\mathbb{F}}(\mathcal{L})$  satisfying  $[\chi, adx] = 0$  for all  $x \in \mathcal{L}$ . It follows from [1, Lemma 3.4] that

$$(1) \quad \mathcal{C}(\mathcal{L}) = \bigoplus_{\lambda \in \Lambda} \mathcal{C}(\mathcal{L})^\lambda,$$

where  $\mathcal{C}(\mathcal{L})^\lambda$  consists of all endomorphisms of degree  $\lambda$  with respect to  $\Lambda$ -grading of  $\mathcal{L}$ . Let

$$\Gamma = \{\lambda \in \Lambda \mid \mathcal{C}(\mathcal{L})^\lambda \neq 0\},$$

which is a subgroup of  $\Lambda$ , called the centroidal grading group. We denote by

$$grSCDer_{\mathbb{F}}(\mathcal{L}) = \bigoplus_{\gamma \in \Gamma} (SCDer_{\mathbb{F}}(\mathcal{L}))^\gamma,$$

the  $\Gamma$ -graded subalgebra of centroidal derivations which are skew-symmetric with respect to the  $\Lambda$ -graded form. Let

$$\mathcal{D} := \{\delta_\theta : \theta \in \text{Hom}_{\mathbb{Z}}(\Lambda, \mathbb{F})\},$$

be the so-called degree derivations of  $\mathcal{L}$ , which is contained in  $(SCDer_{\mathbb{F}}(\mathcal{L}))^0$ . Since  $\Lambda$  is torsion-free, there exists an embedding of the abelian group  $\Lambda$  into  $\mathcal{D}^*$ , that is

$$\text{ev} : \Lambda \longrightarrow \mathcal{D}^* \quad ; \quad \lambda \longmapsto \text{ev}_\lambda \quad \text{where} \quad \text{ev}_\lambda(\delta_\theta) = \theta(\lambda).$$

The second ingredient of our construction is a  $\Gamma$ -graded subalgebra of the  $grSCDer_{\mathbb{F}}(\mathcal{L})$ ,

$$D = \bigoplus_{\gamma \in \Gamma} D^\gamma \quad , \quad D^\gamma \subseteq (SCDer_{\mathbb{F}}(\mathcal{L}))^\gamma,$$

which has the property that  $D^0$  induces the  $\Lambda$ -grading of  $\mathcal{L} = \bigoplus_{\lambda \in \Lambda} \mathcal{L}^\lambda$  where  $\mathcal{L}^\lambda = \{x \in \mathcal{L} : \delta_\theta(x) = \theta(\lambda)x \text{ for all } \delta_\theta \in D^0\}$ . Equivalently, the restricted evaluation map

$$\Lambda \longrightarrow (D^0)^* \quad ; \quad \lambda \longmapsto \text{ev}_\lambda|_{D^0},$$

is injective.

Let  $grD^* = \bigoplus_{\gamma \in \Gamma} (D^*)^\gamma$  be the graded dual space of  $D$ , thus,  $f \in (D^*)^\gamma$  is extended to a linear form on  $D$  by  $f|_{D^\eta} = 0$  for  $\gamma \neq \eta$ .

The third ingredient of our construction is a  $\Gamma$ -graded subspace of  $grD^*$  denoted by  $C = \bigoplus_{\gamma \in \Gamma} C^\gamma$ , which is invariant under the contragredient action of  $D$  on  $grD^*$  and contains  $\text{span}_{\mathbb{F}}\{\sigma_D(x, y) : x, y \in \mathcal{L}\}$ , where

$$\sigma_D : \mathcal{L} \times \mathcal{L} \longrightarrow grD^*,$$

is the central 2-cocycle for  $\mathcal{L}$  (i.e with values in the trivial  $\mathcal{L}$ -module  $grD^*$  which respects the grading of  $\mathcal{L}$  and  $grD^*$ ), defined by

$$\sigma_D(x, y)(d) = (d(x), y), \quad (x, y \in \mathcal{L} \text{ and } d \in D).$$

Note that,  $C^0$  is a subspace such that the restriction map  $C^0 \longrightarrow (D^0)^*$  is injective and  $\sigma_D(x_\alpha^\lambda, y_\alpha^\lambda) \in C^0$  for all  $\alpha \in \Delta$ ,  $\lambda \in \Lambda_\alpha$ .

The fourth ingredient of our construction is an invariant toral 2-cocycle  $\tau : D \times D \longrightarrow C$ . Namely, by considering a  $D$ -module via the contragredient action denoted by  $d \cdot f$  for  $d \in D$ ,  $f \in (D^*)^\gamma$ ,  $\tau$  is the bilinear map such that for  $d, d_i \in D$  ( $i = 1, 2, 3$ ), satisfies the following:

$$\begin{aligned} \tau(d, d) &= 0, \\ \sum_{\circlearrowleft} \tau([d_1, d_2], d_3) &= \sum_{\circlearrowleft} d_1 \cdot \tau(d_2, d_3), \\ \tau(d_1, d_2)(d_3) &= \tau(d_2, d_3)(d_1), \\ \tau(D^0, D) &= 0. \end{aligned}$$

Here  $\sum_{\circlearrowleft}$  indicates the sum over all cyclic permutations of  $(1, 2, 3)$ .

Finally, for  $\mathcal{L}$ ,  $D$ ,  $C$  and  $\tau$  as above we define

$$L = L(\mathcal{L}, D, C, \tau) = \mathcal{L} \oplus C \oplus D.$$

Then  $L$  is a Lie algebra with respect to the product

$$\begin{aligned} [x_1 \oplus f_1 \oplus d_1, x_2 \oplus f_2 \oplus d_2] &= ([x_1, x_2] + d_1(x_2) - d_2(x_1)) \\ &\oplus (\sigma_D(x_1, x_2) + d_1 \cdot f_2 - d_2 \cdot f_1 + \tau(d_1, d_2)) \oplus [d_1, d_2], \end{aligned}$$

where  $x_i \in \mathcal{L}$ ,  $f_i \in C$  and  $d \in D$  ( $i = 1, 2$ ).  $L$  has a nondegenerate invariant form, denoted by  $(\cdot, \cdot)$  given by

$$(x_1 \oplus f_1 \oplus d_1, x_2 \oplus f_2 \oplus d_2) = (x_1, x_2) + f_1(d_2) + f_2(d_1).$$

Thus, (LEA2) holds for  $L$ . Let  $H = \mathfrak{h} \oplus C^0 \oplus D^0$ . We identify  $\Lambda = \text{ev}(\Lambda) \subseteq (D^0)^*$  and view  $\Lambda \subseteq H^*$  by letting  $\lambda \in \Lambda$  act by zero on  $\mathfrak{h} \oplus C^0$ . Similarly, any  $\alpha \in \Delta \subseteq \mathfrak{h}^*$  gives rise to a bilinear form on  $H$  by putting  $\alpha|_{C^0 \oplus D^0} = 0$ . With these identifications,  $H$  becomes a toral subalgebra of  $L$  which is self-centralizing and ad-diagonalizable, that is, (LEA1) holds. To describe the

roots of toral pair  $(L, H)$ . For  $\alpha \in \Delta$  and  $\lambda \in \Lambda_\alpha$  we define a linear form  $\alpha + \lambda \in H^*$  by

$$(\alpha + \lambda)(h \oplus f \oplus d) = \alpha(h) + \text{ev}_\lambda(d),$$

where  $h \in \mathfrak{h}$ ,  $f \in C^0$  and  $d \in D^0$ . Then the root spaces of toral pair  $(L, H)$  are

$$(2) \quad L_{\alpha+\lambda} = \begin{cases} \mathcal{L}_\alpha^\lambda & ; \alpha \neq 0, \lambda \in \Lambda_\alpha \\ \mathcal{L}_0^\lambda \oplus C^\lambda \oplus D^\lambda & ; \alpha = 0, \lambda \in \Lambda_0. \end{cases}$$

Thus, the set of roots of  $(L, H)$  is

$$R = \{\alpha + \lambda : \alpha \in \Delta, \lambda \in \Lambda_\alpha\} = \bigcup_{\alpha \in \Delta} (\alpha \oplus \Lambda_\alpha) \subseteq H^*.$$

So,  $R$  is contained in the image  $H^*$  of  $H$  with respect to the form  $(\cdot|\cdot)$ , that is, the axiom (LEA3) is true for  $(L, H)$ . By construction,  $H$  is a splitting cartan subalgebra, so (LEA5) holds.

The above construction is summarized in the following.

**Theorem 2.1.** *The pair  $(L, H)$ , where  $L = L(\mathcal{L}, D, C, \tau)$ , is a LEALA with respect to the form  $(\cdot|\cdot)$ . Moreover, its centreless core is  $\mathcal{L}$ .*

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