

APPLICATION OF PRE-SCHWARZIAN DERIVATIVE  
TO CERTAIN SUBCLASSES OF  
ANALYTIC FUNCTIONS

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ABSTRACT. In this paper, we give a brief survey of the development of areas of mathematics related to geometric function theory. We are mainly concerned with univalent functions. Also, we introduce some results involving pre-Schwarzian derivatives.

1. Introduction

Let  $\mathcal{A}$  be the linear space of all analytic functions in the unit disk  $D = \{z \in \mathbb{C} : |z| < 1\}$ , normalized by  $f(0) = f'(0) - 1 = 0$ .

By  $S$ ,  $S^*(\alpha)$  and  $K(\alpha)$  we denote the well known subclasses of  $\mathcal{A}$  that are univalent, starlike (with respect to the origin) of order  $\alpha$ , convex of order  $\alpha$ , respectively.

Note that  $f \in S^*(\alpha) \Leftrightarrow J[f] \in K(\alpha)$ , where  $J[f]$  denotes the Alexander transform [1] of  $\mathcal{A}$  defined by

$$J[f](z) = \int_0^z \frac{f(\xi)}{\xi} d\xi = \int_0^1 \frac{f(tz)}{t} dt.$$

In 1960, Bienacki claimed that  $f \in S$  implies  $J[f] \in S$ , but this turned out to be wrong (see[4]). This means that the Alexander integral operator does not preserve the class  $S$ . In [5] Y. J. Kim and Merkes

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considered the nonlinear integral transform  $J_\alpha$ , defined by

$$J_\alpha[f](z) = \int_0^z \left(\frac{f(t)}{t}\right)^\alpha dt$$

for the complex number  $\alpha$  and for functions  $f$  in the class

$$\mathcal{Z}f = \{f \in \mathcal{A} : f(z) \neq 0 \text{ for all } 0 < |z| < 1\},$$

and showed that

$$J_\alpha(S) = \{J_\alpha[f] : f \in S\} \subset S.$$

Up to now, the best constant is not known for this result.

Also let  $\mathcal{U}$  denote the class of uniformly locally univalent, that is, there exists a constant  $\rho = \rho(f) > 0$  such that  $f$  is univalent in each disk of hyperbolic radius  $\rho$  in  $D$ .

Let  $f : D \rightarrow \mathbb{C}$  be analytic and locally univalent. The pre-Schwarzian derivative  $T_f$  of  $f$  is defined by  $T_f(z) = \frac{f''(z)}{f'(z)}$ . Also, with respect to the Hornich operation, the quantity

$$\|T_f\| = \sup_{z \in D} (1 - |z|^2) |T_f(z)|$$

can be regarded as a norm on the space of uniformly locally univalent analytic functions  $f$  in  $D$ . It is known that  $f$  is uniformly locally univalent if and only if  $\|T_f\| < \infty$ . In connection with the above norm, the following result is important to note.

**Theorem 1.1.** ([2],[3],[6]) *Let  $f$  be analytic and locally univalent in  $D$ . Then*

*i) if  $\|T_f\| \leq 1$  then  $f$  is univalent, and*

*ii) if  $\|T_f\| \leq 2$  then  $f$  is bounded.*

*The constants are sharp.*

We say that a function  $\phi \in \mathcal{A}$  is subordinate to  $\psi \in \mathcal{A}$  and write  $\phi \prec \psi$  or  $\phi(z) \prec \psi(z)$  if there is a Schwarz function  $\omega$  (i.e. a function  $\omega \in \mathcal{A}$  with  $|\omega(z)| < 1$  in  $D$ ) satisfying  $\phi(z) = \psi(\omega(z))$ .

The following always generates a sharp result for a fixed  $g$ .

**Theorem 1.2.** *Let  $g \in \mathcal{U}$  be given. For an analytic function  $f$  on the unit disk  $D$ , if  $f'$  is subordinate to  $g'$  then we have  $\|T_f\| \leq \|T_g\|$ . In particular,  $f$  is uniformly locally univalent on the unit disk.*

## 2. Norm estimate for certain subclasses of univalent functions

As a typical application of Theorem 1.2, we present the following:

**Theorem 2.1.** *If  $f \in \mathcal{A}$  satisfies  $\operatorname{Re} f'(z) > 0$  on the unit disk, then  $\|T_f\| \leq 2$ . Moreover, the bound is sharp.*

We note that the Noshiro-Warschawski theorem shows that such an  $f$  must be univalent.

For a constant  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ , a function  $f \in \mathcal{A}$  is called  $\beta$ -spiral-like if  $f$  is univalent on  $D$  and for any  $z \in D$ , the  $\beta$ -logarithmic spiral  $\{f(z)\exp(-e^{i\beta}t) : t \geq 0\}$  is contained in  $f(D)$ . It is equivalent to the condition that

$$\operatorname{Re} \left\{ e^{-i\beta} \frac{zf'(z)}{f(z)} \right\} > 0 \quad z \in D.$$

We denote by  $Sp(\beta)$  the set of  $\beta$ -spiral-like function.

In the following theorem, Y.Okuyama [9] gives the best estimate of the norms of pre-Schwarzian derivative for the class  $Sp(\beta)$ .

**Theorem 2.2.** *For every  $f \in Sp(\beta)$ , where  $\beta \in (-\frac{\pi}{2}, \frac{\pi}{2})$ , we have the following:*

I) *In the case  $|\beta| \leq \frac{\pi}{3}$  we have*

$$\|T_f\| \leq \|T_{f_\beta}\| = 2|2 + e^{2i\beta}|.$$

II) *In the case  $|\beta| > \frac{\pi}{3}$  we have*

$$\|T_f\| \leq \|T_{f_\beta}\|,$$

where

$$\|T_{f_\beta}\| = \max_{0 \leq m \leq \frac{4}{3} \sin|\beta|} 2m \cos \beta \left( 1 + \sqrt{\frac{m^2 + 4 - 4m \sin|\beta|}{m^2 + 1 - 2m \sin|\beta|}} \right),$$

and  $f_\beta(z) = z(1-z)^{-2e^{i\beta} \cos \beta} \in Sp(\beta)$  is the  $\beta$ -spiral Koebe function. In both cases, the equality  $\|T_f\| = \|T_{f_\beta}\|$  holds if and only if  $f$  is a rotation of the  $\beta$ -spiral Koebe function.

Let  $\alpha$  be a real constant with  $0 \leq \alpha \leq 1$ . An analytic function  $f$  on the unit disk satisfying  $f(0) = 0$  and  $f'(0) = 1$  is called strongly starlike of order  $\alpha$  if  $f$  satisfies the condition

$$\left| \arg \frac{zf'(z)}{f(z)} \right| \leq \frac{\alpha\pi}{2} \quad (z \in D^* = D \setminus \{0\}).$$

T. Sugawa in [8] has proved the following result for strongly starlike functions.

**Theorem 2.3.** *If  $f$  is strongly starlike of order  $\alpha$ , then  $\|T_f\| \leq M(\alpha) + 2\alpha$ , where,  $M(\alpha)$  is given by*

$$(1) \quad M(\alpha) = \frac{4\alpha c(\alpha)}{(1-\alpha)c(\alpha)^2 + 1 + \alpha}.$$

and  $c(\alpha)$  is the unique solution of the following equation with respect to  $x$  in the interval  $(1, \infty)$ ;

$$(1-\alpha)x^{\alpha+2} + (1+\alpha)x^\alpha - x^2 - 1 = 0$$

Moreover, the equality holds precisely if  $T_f(z) = \left(\frac{1+\epsilon z}{1-\epsilon z}\right)^\alpha$  for a constant  $\epsilon$  with  $|\epsilon| = 1$ .

Also we have the following result for starlike and convex functions of order  $\alpha$ , which is due to Yamashita [10].

**Theorem 2.4.** *Let  $0 \leq \alpha < 1$  and  $f \in S$ .*

1) *If  $f$  is starlike of order  $\alpha$ , i.e.,  $\operatorname{Re}(zf'(z)/f(z)) > \alpha$ , then  $\|T_f\| \leq 6 - 4\alpha$ .*

2) *If  $f$  is convex of order  $\alpha$ , i.e.,  $\operatorname{Re}(1 + zf''(z)/f'(z)) > \alpha$ , then  $\|T_f\| \leq 4(1 - \alpha)$ .*

*Moreover, the above bounds are sharp.*

### 3. Application of pre-Schwarzian derivative

For a non-negative  $\lambda$  we set  $B(\lambda) = \{f \in \mathcal{A} : \|T_f\| \leq 2\lambda\}$ . The following theorem is significant in connection with univalent functions.

**Theorem 3.1.** *(Becker and Pommerenk [2],[3]) The set  $S$  of normalized univalent analytic functions on the unit disk is contained in  $B(3)$  and contains  $B(\frac{1}{2})$ . The result is sharp.*

In the class  $B(\lambda)$  for  $0 \leq \lambda < \infty$ , the function  $F_\lambda(z) = \int_0^z \left(\frac{1+t}{1-t}\right)^\lambda dt$  is extremal, so it is important to know the univalence of  $F_\lambda$ .

**Theorem 3.2.** *([6]) For a non-negative number  $\lambda$ , the function  $F_\lambda$  is univalent in the unit disk if and only if  $0 \leq \lambda \leq 1$ .*

**Theorem 3.3.** *([6]) For  $\lambda > 1$  every  $f \in B(\lambda)$  satisfies the growth condition*

$$f(z) = O(1 - |z|)^{1-\lambda}$$

as  $|z| \rightarrow 1$ . On the other hand, for  $\lambda < 1$ , every function  $f \in B(\lambda)$  is bounded with the uniform bound  $F_\lambda$ .

**Theorem 3.4.** ([6]) Let  $f(z) = z + a_2z^2 + \dots \in S$ . If  $\|T_f\| \leq 2\lambda$  with  $1.491 < \lambda \leq 3$ , then  $a_n = O(n^{\lambda-2})$  as  $n \rightarrow \infty$ . This order estimate is best possible.

The function  $f(z)$ , analytic in the unit disk  $D$ , is called a Bloch function if

$$\|f\| = |f(0)| + \sup_{z \in D} (1 - |z|^2) |f'(z)| < \infty.$$

The linear space of such functions is denoted by  $B$ . With the above norm  $B$  is a complex Banach space.

Let  $BMOA$  be the family of functions  $f$  analytic in  $D$  with finite  $BMOA$  norm

$$\|f\|_* = \sup \|f_\alpha\|_2 + |f(0)| < \infty,$$

where  $f_\alpha(z) = f\left(\frac{z+\alpha}{1+\bar{\alpha}z}\right) - f(\alpha)$ .

The following well known theorem states the relationship between the class  $B$  and  $BMOA$ .

**Theorem 3.5.** Let  $f$  be a univalent analytic function on the unit disk. Then  $f \in BMOA$  if and only if  $f$  is a Bloch function.

The Hardy space  $\mathcal{H}^p$  ( $0 < p \leq \infty$ ) is the class of all functions  $f$  analytic in  $D$  such that

$$\|f\|_p = \lim_{r \rightarrow 1} M_p(r, f) < \infty,$$

where

$$M_p(r, f) := \begin{cases} \left( \frac{1}{2\pi} \int_0^{2\pi} |f(re^{i\theta})|^p d\theta \right)^{\frac{1}{p}}, & 0 \leq p < \infty; \\ \max_{|z| \leq r} |f(z)|, & p = \infty. \end{cases}$$

Note that  $\mathcal{H}^\infty \subset BMOA \subset \bigcap_{0 < p < \infty} \mathcal{H}^p$ .

**Theorem 3.6.** ([6]) Let  $f \in S$  and set  $\|T_f\| = 2\lambda$ .

- 1) if  $\lambda < 1$  then  $f \in \mathcal{H}^\infty$ .
- 2) if  $\lambda > 1$  then  $f \in \mathcal{H}^p$  for all  $0 < p < \frac{1}{\lambda-1}$ .
- 3) if  $\lambda = 1$  then  $f \in BMOA$ .

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